## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 03-344-J (400.110US))

In the Application of:

McSwiggen et al.

Serial No.: 10/553,729

Filing Date: October 14, 2005

For: RNA Interference Mediated Inhibition of

Severe Acute Respiratory Syndrome (SARS) Gene Expression Using-Short

Interfering Nucleic Acid (siNA)

Examiner: TBA

Group Art Unit: TBA

**Confirmation No.: TBA** 

#### TRANSMITTAL LETTER

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Alexandria, VA 2231314

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Information Disclosure Statement;
  - b) PTO Form PTO/SB/08a;
  - c) PTO Form PTO/SB/08b;
  - d) 13 Cited References;
  - e) Return receipt postcard.
- 2. With respect to fees:
  - a) A fee is not required at this time.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 12, 2006.

Date: April 12, 2006

Michael S. Greenfield Registration No. 37,14

### **PATENT**

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### INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the cited references are enclosed. These references are also listed on the enclosed PTO Form PTO/SB/08a and PTO/SB/08b.

This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandra, VM 223137 450, on April 12, 2006.

Date: April 12, 2006

Michael S. Greenfield

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

### FOREIGN PATENT DOCUMENTS

- 1. PCT Patent No. WO 02/097114 A2, published December 5, 2002
- 2. PCT Patent No. WO 00/44895 A1, published August 3, 2000.
- 3. PCT Patent No. WO 2004/011647 A1, published February 5, 2004.
- 4. Abstract of Chinese Patent CN 1 458 281, published November 26, 2003.
- 5. Abstract of Chinese Patent CN 1 465 584, published January 7, 2004.

### **OTHER DOCUMENTS**

- 1. Peiris, J.S. M. et al., "Coronavirus as a Possible Cause of Severe Acute Respiratory Syndrome", THE LANCET (NORTH AMERICAN EDITION), Vol. 361, April 8, 2003, pp. 1319-1325.
- 2. Ksiazek, Thomas G. et al., "A Novel Coronavirus Associated with Severe Acute Respiratory Syndrome", NEW ENGLAND JOURNAL OF MEDICINE, Vol. 348, No. 20, May 15, 2003, pp. 1953-1966.
- 3. Elbashir, Sayda M. et al., "Functional Anatomy of siRNAs for Mediating Efficient RNAi in Drosophila Melanogaster Embryo Lysate", EMBO JOURNAL, OXFORD UNIVERSITY PRESS SURREY, GB, Vol. 20, No. 23, December 3, 2001, pp. 6877-6888.
- 4. Parrish, Susan et al., "Functional Anatomy of a dsRNA Trigger: Differential Requirement for the Two Trigger Strands in RNA Interference", MOLECULAR CELL, CELL PRESS, CAMBRIDGE, MA, US, Vol. 8, November, 2000, pp. 1077-1087.
- 6. Zhang, Renli et al., "Inhibiting Severe Acute Respiratory Syndrome-Associated Coronavirus by Small Interfering RNA", CHINESE MEDICAL JOURNAL, Vol. 116, No. 8, July 2003, pp. 1262-1264.
- 7. He, Ming-Liang et al., "Inhibition of SARS-Associated Coronavirus Infection and Replication by RNA Interference", JAMA: THE JOURNAL OF THE AMERICAN MEDICAL ASSOCIATION, Vol. 290, No. 20, November 26, 2003, pp. 2665-2666.

- 8. International Search Report for PCT/US2004/011320, dated December 23, 2004.
- 9. International Preliminary Examination Report for PCT/US2004/011320, dated October 21, 2005.

Respectfully submitted,

Date: April 12, 2006

By:

Michael S. Greenfield Reg. No. 37,142

Telephone: 312-913-0001 Facsimile: 312-913-0002 McDonnell Boehnen Hulbert & Berghoff LLP 300 South Wacker Drive, 32<sup>nd</sup> Floor Chicago, IL 60606

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Complete if Known Substitute for form 1449A/PTO Application Number 10/553,729 FORMATION DISCLOSURE Filing Date October 14, 2005 ATEMENT BY APPLICANT First Named Inventor James McSwiggen Art Unit N/A **Examiner Name** N/A (Use as many sheets as necessary) Attorney Docket Number 03-344-J (400.110US)

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
			MM-DD-YYYY			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		
	1	WO 02/097114 A2	12/05/2002	Ribozyme Pharmaceuticals, Incorporation		
_	2	WO 00/44895 A1	08/03/2000	Kreutzer, Roland		
_	3	WO 2004/011647 A1	02/05/2004	Chiron Corporation		
	4	CN 1 458 281 Abstract	11/26/2003	Huanqiu Zhongjia Biological Science and Technology Co., Ltd.		
	5	CN 1 465 584 Abstract	01/07/2004	Beijing Jiaotong Univ.		

Examiner	Date
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO			Complete if Known		
		·	Application Number	10/553,729	
16	VFORMATION DISC	CLOSURE	Filing Date	October 14, 2005	
S	TATEMENT BY AP	PLICANT	First Named Inventor	James McSwiggen	
			Art Unit	N/A	
	(Use as many sheets as nece	essary)	Examiner Name	N/A	
Shee	t 1	of 1	Attorney Docket Number	03-344-J (400.110US)	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	Peiris, J.S. M. et al., "Coronavirus as a Possible Cause of Severe Acute Respiratory Syndrome", THE LANCET (NORTH AMERICAN EDITION), Vol. 361, April 8, 2003, pp. 1319-1325.	
	2	Ksiazek, Thomas G. et al., "A Novel Coronavirus Associated with Sever Acute Respiratory Syndrome", NEW ENGLAND JOURNAL OF MEDICINE, Vol. 348, No. 20, May 15, 2003, pp. 1953-1966.	
	3	Elbashir, Sayda M. et al., "Functional Anatomy of siRNAs for Mediating Efficient RNAi in Drosophila Melanogaster Embryo Lysate", EMBO JOURNAL, OXFORD UNIVERSITY PRESS SURREY, GB, Vol. 20, No. 23, December 3, 2001, pp. 6877-6888.	
	4	Parrish, Susan et al., "Functional Anatomy of a dsRNA Trigger: Differential Requirement for the Two Trigger Strands in RNA Interference", MOLECULAR CELL, CELL PRESS, CAMBRIDGE, MA, US, Vol. 8, November, 2000, pp. 1077-1087.	
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	6	He, Ming-Liang et al., "Inhibition of SARS-Associated Coronavirus Infection and Replication by RNA Interference", JAMA: THE JOURNAL OF THE AMERICAN MEDICAL ASSOCIATION, Vol. 290, No. 20, November 26, 2003, pp. 2665-2666.	
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Examiner		Date	1
Signature		 Considered	1

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.